

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Re Patent Application of )  
EMMITT CARROLL )  
Serial No.: )  
Filed: )  
For: UMBRELLA/CUP HOLDER DEVICE )  
Examiner: )  
Group Art Unit: )  
Attorney: Ivar M. Kaardal )  
Deposit Account No. 11-0020 )

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

This statement is filed under the provisions of Rule 1.56 and the detailing of Rules 1.97 and 1.98.

With regards to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

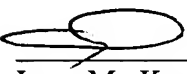
No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.



Consideration of the items listed is respectfully requested.

Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

  
\_\_\_\_\_  
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Date: November 14, 2003

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with proper postage as First Class mail in an envelope addressed to the Mail Stop, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 14th day of November, 2003.

  
\_\_\_\_\_  
Randi Vander Wilt

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

November 14, 2003

Application Number:

Filing Date:

First Named Inventor: **EMMITT CARROLL**

Group Art Unit:

Examiner Name:

Attorney Docket Number: **00-1840**

## U.S. PATENT DOCUMENTS

| Examiner<br>Initials | Cite<br>No. | U.S. Patent Document<br>Number of Cited Documents | Name of Patentee or Applicant<br>Cited Documents | Date of Publication of<br>Cited Documents | Pages, Columns, Lines, Where<br>Relevant Passages or Figures |
|----------------------|-------------|---|--|---|--|
|                      | 1           | 5,513,786   | Drane  |   | May 07, 1996   |
|                      | 2           | 5,918,612   | Hughes   |   | July 06, 1999  |
|                      | 3           | 4,537,339   | Pearson  |   | August 27, 1985  |
|                      | 4           | 2,085,984   | Kruithof   |   | July 06, 1937  |
|                      | 5           | Des. 361,654                                      | Petracco   |   | August 29, 1995  |
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## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials | Cite<br>No. | U.S. Patent Document<br>Office Number | Name of Patentee or Applicant<br>of Cited Documents | Date of Publication of<br>Cited Documents | Pages, Columns, Lines, Where<br>Relevant Passages or Figures |
|----------------------|-------------|---------------------------------------|---|---|--|
|----------------------|-------------|---------------------------------------|---|---|--|

**NONE**

## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

| Examiner<br>Initials | Cite<br>No. | Include name of the author, title of the article, title of the item, date, page(s),<br>volume-issue number(s), publisher, city and/or country where published. |
|----------------------|-------------|--|
|----------------------|-------------|--|

**NONE**

Examiner Signature: \_\_\_\_\_ Date Considered: \_\_\_\_\_